

**IN THE UNITED STATES DISTRICT COURT  
FOR THE SOUTHERN DISTRICT OF TEXAS  
HOUSTON DIVISION**

United States Courts  
Southern District of Texas  
FILED

*March 08, 2021*

Nathan Ochsner, Clerk of Court

RYAN ZACHARY ROSS, et al.,

Plaintiffs,

v.

ALLY FINANCIAL INC. et al.,

Defendants.

**Civil Action No. 4:21-cv-00292**

**DEFENDANT APEX CLEARING CORPORATION'S  
RULE 7.1 DISCLOSURE STATEMENT**

Pursuant to Federal Rule of Civil Procedure 7.1, the undersigned counsel for Defendant Apex Clearing Corporation hereby certifies that Apex Clearing Holdings LLC and PEAK6 Investments LLC are parent corporations of Apex Clearing Corporation. Apex Clearing Corporation further certifies that no publicly held corporation has a 10% or greater ownership interest in Apex Clearing Corporation.<sup>1</sup>

Dated: March 5, 2021

Respectfully submitted,

/s/ Jack E. Pace III

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<sup>1</sup> Apex Clearing Corporation has not yet responded to the Complaint in this matter. In filing this Statement, Apex Clearing Corporation does not waive, and instead expressly preserves, all rights, privileges, immunities, affirmative defenses, and other defenses, including all defenses based upon the lack of personal jurisdiction over it.

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*Counsel for Defendant Apex Clearing Corp.*

**CERTIFICATE OF SERVICE**

I hereby certify that on March 5, 2021, the within document was filed with the Clerk of the Court using CM/ECF, which will send notification of such filing to the attorneys of record in this case.

Executed: March 5, 2021

/s/ Jack E. Pace III

Jack E. Pace III